



PCT/GB 2004 / 0 0 2 5 3 9



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport

South Wales
NP10 8QQ

27 JUL 2004

WIPO

PCT

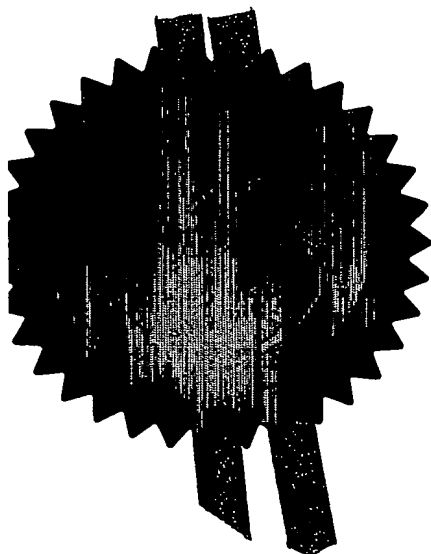
**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated

13 July 2004

BEST AVAILABLE COPY

Patents (Rule 16) 977



11 JUN 03 E81 103-7 000355
LP01 7700 0 00-03 3444.2

THE PATENT OFFICE

C

11 JUN 2003

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

NEWPORT

The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference M072218PGB 11 JUN 2003

2. Patent application number
(The Patent Office will fill in this part) 0313444.2

3. Full name, address and postcode of the or of each applicant (underline all surnames)
MIDLAND MEDICAL TECHNOLOGIES LIMITED
Birmingham University Research Park
Vincent Drive
Edgbaston BIRMINGHAM B15 2SQ

Patents ADP number (if you know it)

8543597002

If the applicant is a corporate body, give the country/state of its incorporation

GREAT BRITAIN

4. Title of the invention Modular Dysplasia Cup

5. Name of your agent (if you have one)
"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)
Marks & Clerk
Alpha Tower
Suffolk Street Queensway
Birmingham B1 1TT

Patents ADP number (if you know it)

18002

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)	Date of filing (day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing (day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:
a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d)) YES

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description

14

Claim(s)

Abstract

Drawing(s)

3 + 3 RM.

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

Marks & Clerk

10/6/03

12. Name and daytime telephone number of person to contact in the United Kingdom

STEPHEN G MOSEY
0121 643 5881

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Fixing Assembly

This invention relates to a fixing assembly for securing a fixing member to a surface of a component, and particularly, but not exclusively, to a fixing assembly for securing a fixing member to a prosthetic cup fitted during the resurfacing or replacement of the bearing surfaces of a patient's hip joint.

Conventionally, during the resurfacing or replacement of the bearing surfaces in a hip joint, a standard prosthetic cup component is driven into a prepared location in the acetabulum, and the stability of the cup within the acetabulum assessed by the Surgeon. Occasionally, the stability of the cup within the acetabulum is judged to be inadequate, indicating that there would be insufficient bone fully to support the cup during the healing period. In these circumstances, the Surgeon may decide that the standard cup is to be removed, and a dysplasia cup having a number of integral fixing members fitted in its place. The integral support lugs are drilled and tapped to allow dysplasia support screws to be fitted. These support screws are then anchored into prepared points in the acetabulum. It can be seen that a disadvantage of such a method is that the Surgeon has to fit and then remove the standard cup before the dysplasia cup is fitted. It would be advantageous to provide a standard acetabular cup, to which support lugs may be fitted when required, thus allowing for the transformation of a standard acetabular cup into a dysplasia cup, thereby obviating the need to remove a standard cup.

A further disadvantage associated with dysplasia cups having integral fixing members, is that the location and angular disposition of the support screws is

dictated by the location and orientation of the integral fixing members, and thus cannot be adjusted by the Surgeon. It would be desirable for the Surgeon to be able to adjust the location and/or the angle of the support screws in order to achieve the best fixation of the screws, and thus of the cup, in the acetabulum.

It is an object of the present invention to provide an improved fixing assembly.

According to a first aspect of the present invention, there is provided a fixing assembly for securing a fixing member to an external surface of a component, said fixing assembly comprising said surface of the component, a fixing member and a fixing screw, said surface and said fixing member being formed with a female location feature and a male location feature respectively, or vice versa, such that in use, said male and female location features fit together, and said fixing screw passes through an aperture in said fixing member to secure said fixing member to said surface, with said fixing screw being external to said surface.

According to a second aspect of the present invention, there is provided a fixing assembly for securing a fixing member to a surface of a component, said fixing assembly comprising said surface of the component, a fixing member and a fixing screw, said surface and said fixing member being formed with a female location feature and a male location feature respectively, or vice versa, such that in use, said male and female location features fit together, and said fixing screw passes through an aperture in said

fixing member to secure said fixing member to said surface, with said fixing screw being available for securing said component to a second component.

Preferably, said male and female location features each have at least one corresponding undercut, and desirably, said male and female location features each have two corresponding such undercuts, so that in use, an interference fit dovetail joint is formed between said male and female locating features. Advantageously, the location feature of the component is at least partly located on a rim of said surface.

Preferably, said male location feature has a slit, such that the male location feature is divided into two arms. Advantageously, said slit allows deformation of the male location feature upon the interference fitting of the male and female location features, and desirably said male location feature is of resiliently deformable material.

Preferably, the male location feature having a slit is located on the fixing member, and desirably, said fixing member is formed such that the slit extends radially from the aperture, through said male location feature. Conveniently, said slit is formed in a plane substantially parallel to the axis of the aperture. Alternatively, the slit may be formed in a plane substantially perpendicular to the axis of the aperture.

Preferably, in use, when said fixing screw is screwed into said fixing member aperture, the arms of the male location feature are locked in position. Advantageously, when the fixing screw is partly or fully screwed out of said fixing member aperture, the orientation of the location feature of

the fixing means relative to the location feature of the surface, and thus the orientation of the fixing means itself in relation to the surface, may be adjusted.

Preferably, said fixing screw has a head, and said screw has a thickened portion near the head, such that when the screw is screwed into the aperture of said male fixing member having at least one male location feature with a slit, the arms of the location feature are pushed apart.

Conveniently, said surface has a threaded portion adjacent the at least one location feature, such that, in use, as said fixing screw is screwed into said aperture of the fixing member, said fixing screw engages with said threaded portion.

Preferably, said surface has a circumferential groove forming said female location feature to lock the fixing member to the component.

According to a third aspect of the present invention, there is provided a kit of parts for a fixing assembly for securing a fixing member to an external surface of a component, the kit comprising an external surface of a component, a fixing member and a fixing screw, said surface and said fixing member being formed with a female location feature and a male location feature respectively, or vice versa, such that when the kit of parts is assembled, said male and female location features fit together, and said fixing screw passes through an aperture in said fixing member to secure said fixing member to said surface, with said fixing screw being external to said surface.

According to a fourth aspect of the present invention, there is provided a kit of parts for a fixing assembly for securing a fixing member to a surface of a component, the kit comprising a surface of a component, a fixing member and a fixing screw, said surface and said fixing member being formed with a female location feature and a male location feature respectively, or vice versa, such that when the kit of parts is assembled, said male and female location features fit together, and said fixing screw passes through an aperture in said fixing member to secure said fixing member to said surface, with said fixing screw being available for securing said component to a second component.

Preferably, said surface of a component is the external surface of a cup of a prosthetic ball and socket joint, and advantageously, said cup is an acetabular cup of a prosthetic hip joint. More preferably, said acetabular cup is a dysplasia cup. Desirably, said second component is bone.

According to a fifth aspect of the present invention, there is provided a component having a male or female location feature in a surface thereof, for mutual interfit with a fixing member of a fixing assembly of the first or second aspects of the present invention. Preferably, said component of said fifth aspect is an acetabular cup.

The present invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

Figure 1 is an exploded perspective view of a fixing assembly according to a first embodiment of the present invention,

Figure 2 is a perspective view of the assembled fixing assembly of Figure 1,

Figure 3 is an enlarged fragmentary schematic vertical sectional view of the assembled fixing assembly of Figure 2,

Figure 4 is an enlarged perspective view of a female location feature as shown in Figures 1 to 3,

Figure 5 is an enlarged fragmentary schematic transverse view of the fixing assembly in use, showing detail of the female location feature of the cup, male location feature of the fixing member, and fixing screw of Figures 1 to 4,

Figures 6 to 10 are views corresponding to Figures 1 to 5 respectively, showing a second embodiment of the fixing assembly according to the present invention, and

Figures 11 to 14 show a third embodiment of the fixing assembly according to the present invention, more specifically, Figures 11 to 13 are views corresponding to Figures 1 to 3 respectively, and Figure 14 is an enlarged fragmentary schematic longitudinal view of the fixing assembly in use, showing detail of the female location feature of the cup, male location feature of the fixing member, and fixing screw of Figures 11 to 13.

Referring to the drawings, an example embodiment of a fixing assembly according to the present invention is shown in Figures 1 to 5. The fixing

assembly comprises the external surface of a component, which is an acetabular cup 11 in the preferred embodiment, a fixing member in the form of a lug 12, fixing screw 13 and male and female location features 14 and 15 located on the lug and cup respectively. In use, the fixing assembly secures the lug 12 to the external surface of the cup 11 by means of the male and female location features 14 and 15. Fixing screw 13 passes through an aperture 16 in the lug. Aperture 16 has internal threads 18, which engage with external threads 19 of the fixing screw 13.

The lug 12 has a slit 21, which extends radially from the aperture 16, through said male location feature 14 to divide the male location feature 14 into two arms 22, 23, the relevance of which will be discussed below. The slit is formed in a plane substantially parallel to and including the axis of the aperture.

The location features 14, 15 according to a preferred embodiment of the present invention each have at least one undercut. As can be seen best from Figure 4, the male location feature 14 of lug 12 has two undercut side portions 27, 28. The female location feature 15 has two corresponding undercut side portions 25, 26 such that, in use, when the male and female location features are fitted together, an interference fit dovetail joint 29 is formed.

In order to assemble the fixing assembly, the male location feature 14 of the lug 12 is inserted into the female location feature 15 of the cup 11. The male location feature 14, and at least part of the remainder of the lug through which the slit extends is made from a suitable material to allow the arms 22,

23 to be slightly pushed or squeezed together during location of the male location feature 14 into the female location feature 15. The slit allows deformation of the male location feature upon the interference fitting of the male and female location features.

The female location feature is a recess in an outer surface of a rim 31 around the exterior of the cup at its open end. The recess breaks through the horizontal surface 31a of the rim and runs out into the external surface of the cup below the rim. The recess is conical (convex) in front view with the undercut side portions 25, 26 each extending from the surface 31a to where the rim runs out. To match the conical (convex) recess, the side of each undercut is correspondingly conical (convex). The male location feature 14 of lug 12 is introduced into the recess forming the female location feature 15 with the lug orientated such that the two corresponding undercut side portions 27, 28 are perpendicular to the axis of the cup. The lug 12 is then attached to the external cup surface 11 by rotating the lug through approximately 90 degrees, so that side portions 27, 28 of the lug 12 engage with undercut side portions 25, 26 of the female location feature 15. The lug may then be articulated to the desired angle by rotation of the lug in its location through a limited angle, the slit 21 allowing for any article manufacturing variations between the interference fit of the male location feature 14 with female location feature 15. In the example of the present invention in the context of an acetabular cup, the fixing screw 13 location point in the bone of a patient (not shown) would be machined conventionally.

The fixing screw 13 is introduced into the lug aperture 16. Fixing screw 13 has a head portion 32, and a thickened portion 33 towards the head, so that

when the screw is screwed into the lug aperture 16, the external threads 19 of thickened portion 33 engage with the internal threads 18 of aperture 16, and arms 22, 23 are pushed apart and locked in place by the screw 13. The male location feature of the lug is thus held fixed in position inside the female location feature 15. Unscrewing fixing screw 13 so that the thickened portion 33 is no longer engaged with the aperture 16 allows arms 22, 23 to move together, so that the articulation of the lug 12 may be adjusted relative to the female location feature 15 and thus the surface of the cup 11, or detached from the cup.

Referring to Figures 6 to 10, a second embodiment of the fixing assembly 110 according to the present invention comprises an external surface of a component in the form of a cup 111, a fixing member in the form of a lug 112, and a fixing screw 113 and male and female location features 114 and 115 located on the lug and cup respectively. As with the previously described embodiment, in use, the fixing assembly secures the lug 112 to the external surface of the cup 111 by means of the male and female location features 114 and 115. Fixing screw 113 passes through an aperture 116 in the lug. Aperture 116 has internal threads 118, which engage with the external threads 119 of the fixing screw 113.

The female location feature 115 is of very similar form to that of the first embodiment, having two undercut side portions 125, 126, and the male location feature 114 has two corresponding undercut side portions 127, 128, such that, in use, when the male and female location features are fitted together, a dovetail joint 129 is formed, as seen best from Figure 10. However, wherein side portions 27 and 28 of the lug of the first embodiment

are conical in profile, side portions 127, 128 of the lug of the second embodiment are prismatic (flat) in profile, and it will be appreciated that undercut portions 125, 126 of the female location feature 115 are correspondingly profiled. It will be appreciated from the illustrations, that the lug herein illustrated and described according to this particular embodiment of the present invention does not feature a slit equivalent to slit 31 of the first embodiment. However, it will be appreciated that such a slit may be incorporated into this embodiment in order to accommodate manufacturing variation.

In use, the male location feature 114 of the lug 112 is slid into the correspondingly formed female location feature 115 of the cup 111. In order to secure the male location feature to the cup, an externally threaded portion 130, with concentric raised threads, is disposed adjacent the female location feature 115 of the cup 111. In the embodiment shown, the threaded portion is disposed on the external surface of the cup 111 directly below the recess forming the female location feature 115. Fixing screw 113 is introduced into lug aperture 116. Although a thickened portion of the fixing screw is not illustrated or described for this particular embodiment of the present invention, it is envisaged that such a thickening may be present. The external threads 119 of the fixing screw 113 engage with the internal threads 118 of the aperture 116, and as the screw 113 is screwed into the aperture, the threads 119 of the fixing screw also engage with those of the complementarily threaded portion 130 of the external surface of the cup 111. When the screw 113 is screwed into the aperture 116 and engaged with threaded portion 130, the lug 112 is locked in position, attached to the external surface of the cup 111. The threads of portion 130 extend angularly to a sufficient degree to

allow engagement of the screw therewith even if the lug is at a slight angle. If the Surgeon wishes to alter the angle of the lug 112 with respect to the cup, an alternative lug (not shown), with its apertured part at an angle to the male location feature, may be employed and fitted as described above.

Referring to Figures 11 to 14, a third embodiment of a fixing assembly 210 according to the present invention is shown. The fixing assembly comprises the external surface of a component, which is an acetabular cup 211 in the preferred embodiment, a fixing member 212 in the form of a lug, a fixing screw 213, and male and female location features 214 and 215 located on the lug and cup respectively. As with the previously described embodiments, in use, the fixing assembly secures the lug 212 to the external surface of the cup 211 by means of the male and female location features 214 and 215. Fixing screw 213 passes through an aperture 216 in the lug. Aperture 216 has internal threads 218, which engage with the external threads 219 of the fixing screw 213 which is conventional in form.

The lug 12 has a slit 221, which extends radially from the aperture 216, through said male location feature 214 to divide the male location feature 214 into upper and lower arms 222, 223, the relevance of which will be discussed below. The slit is formed in a plane substantially perpendicular to the axis of the aperture. The external surface of the cup 211 has a circumferential groove 215 defined by upper and lower circumferential ridges 231, 232 respectively, the groove forming the female location feature. This groove 215 has a circumferential undercut portion 225 in the under surface of the upper circumferential ridge 231, for mutual interfit with a correspondingly

undercut portion 227 of the upper arm 222 of the male location member 214 of lug 212.

In use, in order to assemble the fixing assembly, the male location feature 214 of the lug 212 is inserted into the groove 215 of the cup 211. The male location feature 214 and the remainder of the lug through which the slit 221 extends, is advantageously made from a resiliently deformable material, and the entire lug may be made from such a resiliently deformable material. Forming the male location feature from a resiliently deformable material enables the arms 222, 223 to be pushed or squeezed together in order to facilitate location of the male location feature 214 into the groove 215. As can be seen best from Figures 13 and 14, the undercut portion 225 of the groove is located on the underside of the horizontal surface 231 of the rim of the cup. With the arms 222, 223 squeezed together, the undercut portion 227 of the upper arm 222 of the male location feature 214 of the lug 212 is introduced into the corresponding undercut 225 of the groove 215. The profile of the male location feature 214 is similar in section to that of the groove 215, as can be seen from Figures 13 and 14. The lug 212 is then clipped into place by rotating the lug relative to the central axis of the cup, and the pressure on the arms 222, 223 released to allow the arms to separate as far as the profile of the groove will allow. A circumferential outer lip 233 with an upper, outwardly directed arcuate surface 234 is located on the upper surface of the lower circumferential ridge 232. The lower arm 223 of the male location feature of the lug has a downwardly directed ramp 235 with an outwardly facing ramp surface 236. On insertion of the male location feature into the groove 215, the surface 234 engages the surface 236 to move the lower arm upwards. The ramp thus moves past the lip 233, which is then

received in a recess 237 of the lower arm disposed behind the ramp. It will be understood that, as an alternative to the specific form of the lug 212 as described and illustrated herein for this particular embodiment, it is envisaged that a folded spring clip may be employed.

The fixing screw 213 is then introduced into the lug aperture 216. When the screw is screwed into the aperture 216, the external threads 219 engage with the internal threads 218 of the aperture to lock the position of the arms 222, 223 apart, so as to hold the male location feature 214 of the lug 212 in position inside the groove 215, as shown in Figure 14.

It will be understood that although the example embodiments depict the female location feature 15 on the cup and male location feature 14 on the lug, it is equally possible that the female location feature could be on the lug and the male location feature on the cup, or a combination thereof. In addition, although only one location feature is shown on the cup, it is envisaged that more than one may be present, so that a plurality of lugs may be secured to one cup.

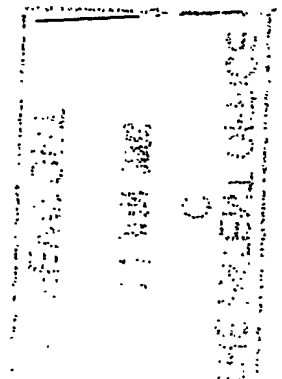
For each of the embodiments described herein, in use, the fixing screw remains external to the surface of the cup, and the fixing screw remains available for use to secure the cup to a second component. In the example of an acetabular cup, this second component would be a patient's bone. Thus it can be seen that the present invention is a particularly convenient arrangement, wherein the fixing screw that secures the fixing means to the external surface of the component is the same fixing screw that may secure the cup to a second component. However, in one alternative the surface

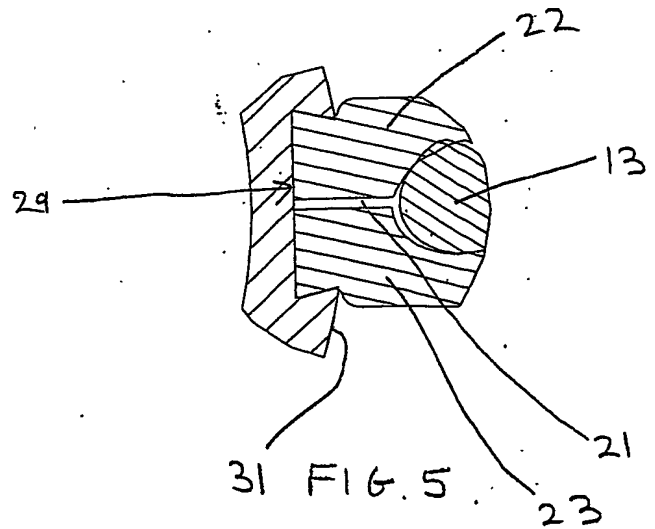
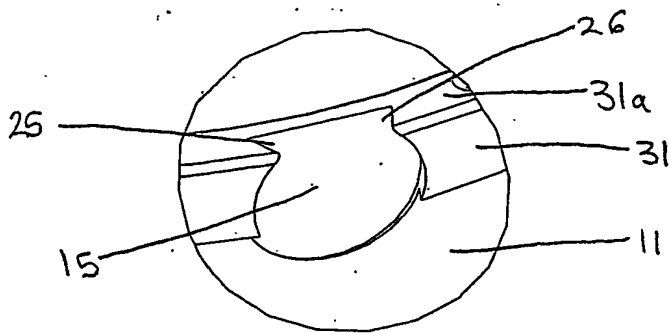
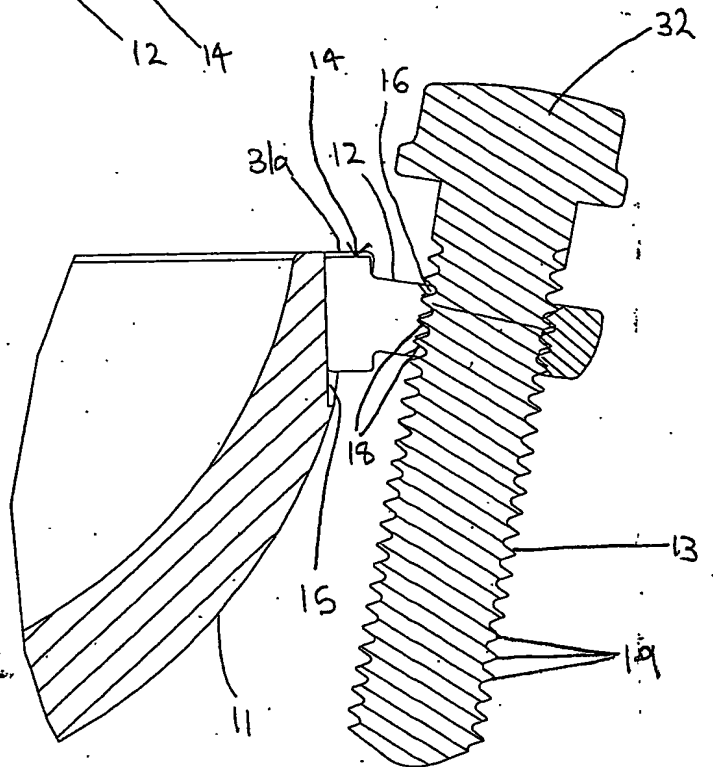
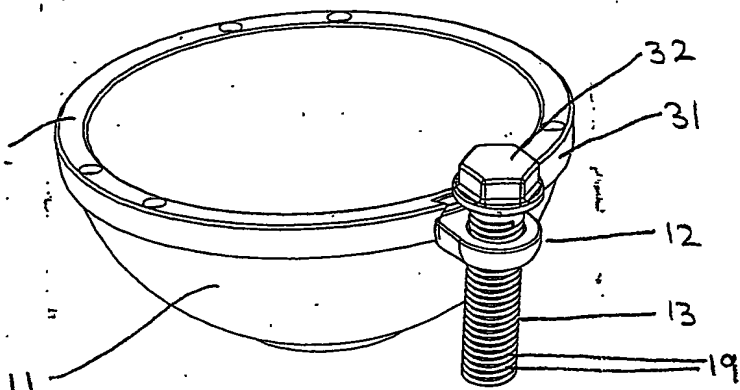
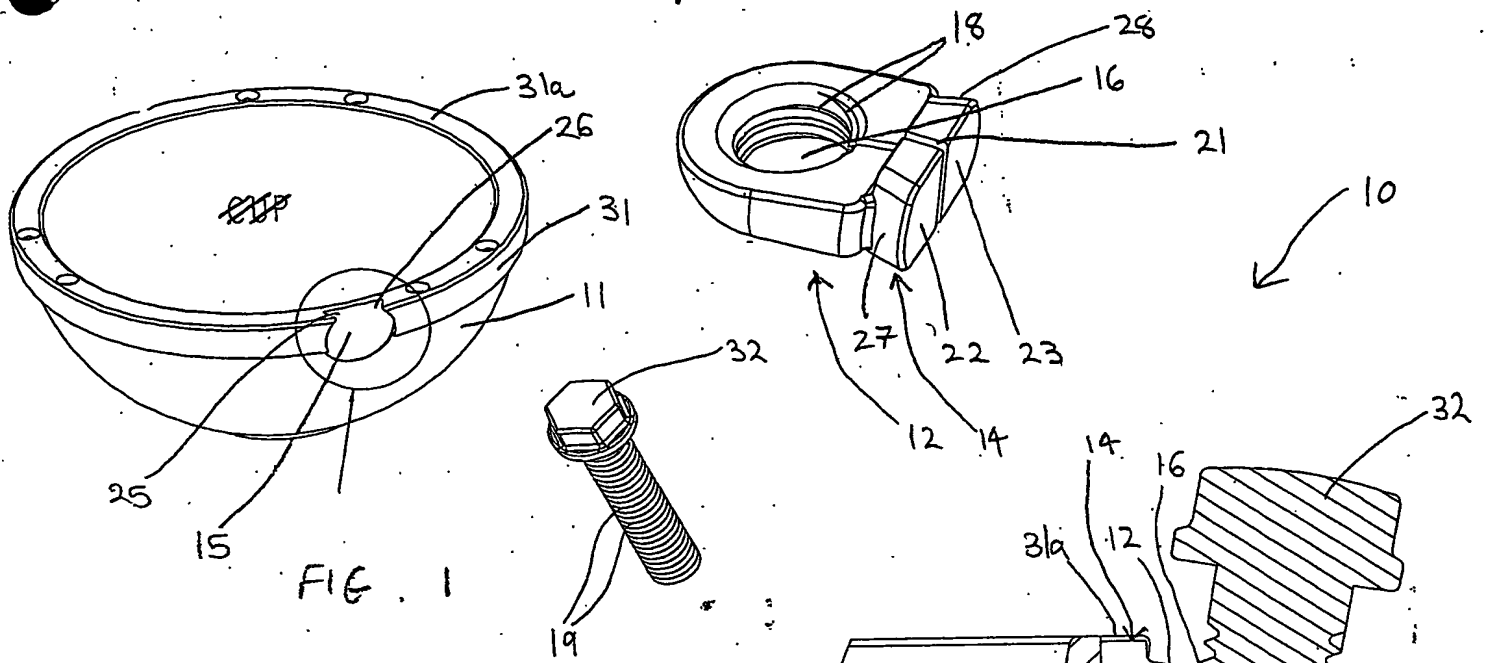
could be an internal surface rather than an external one, but with the screw available for securement to a further component.

It is envisaged that a fixing device according to the present invention may be available as a kit form.

Finally, it will be appreciated that a fixing assembly according to the present invention need not relate specifically to an assembly for fixing a lug to an acetabular cup.

It is considered that the component in which the male and/or female location feature(s) is or are provided, such as an acetabular cup, is inventive in its own right.





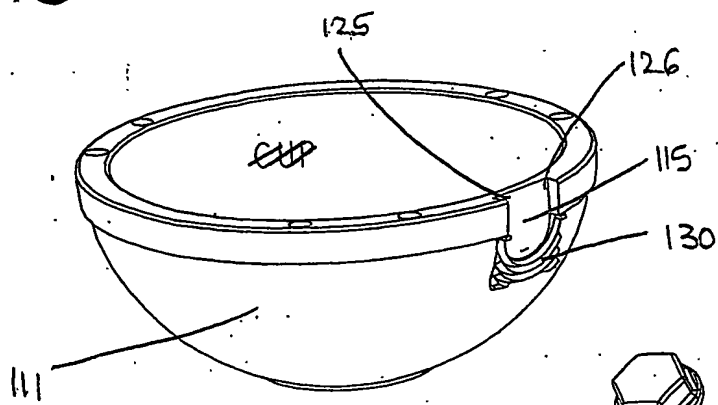


FIG. 6

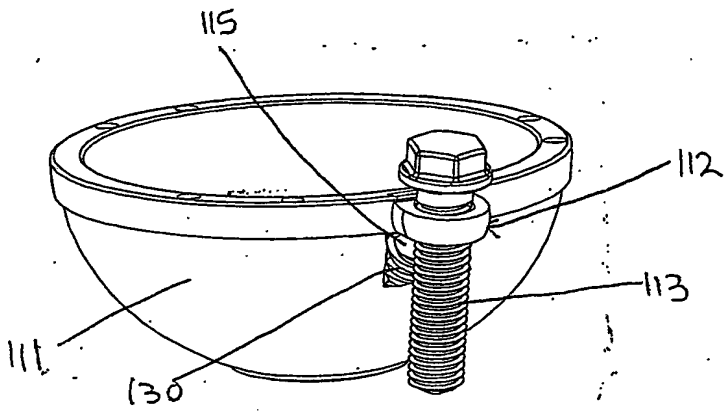
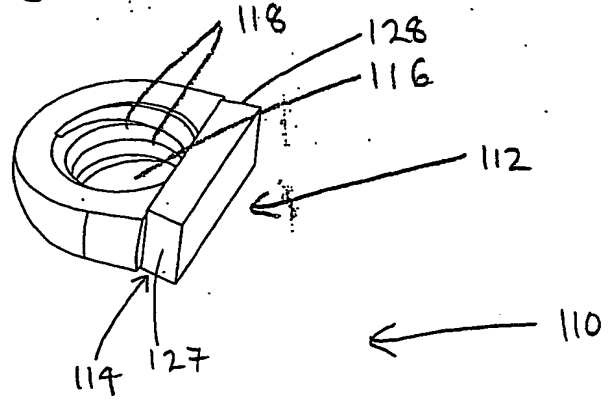


FIG. 7

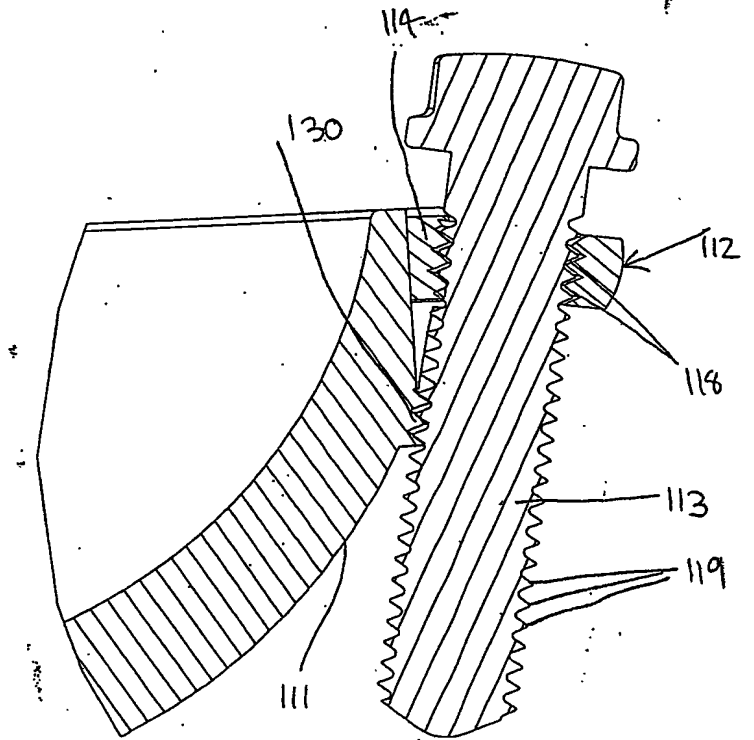


FIG. 8

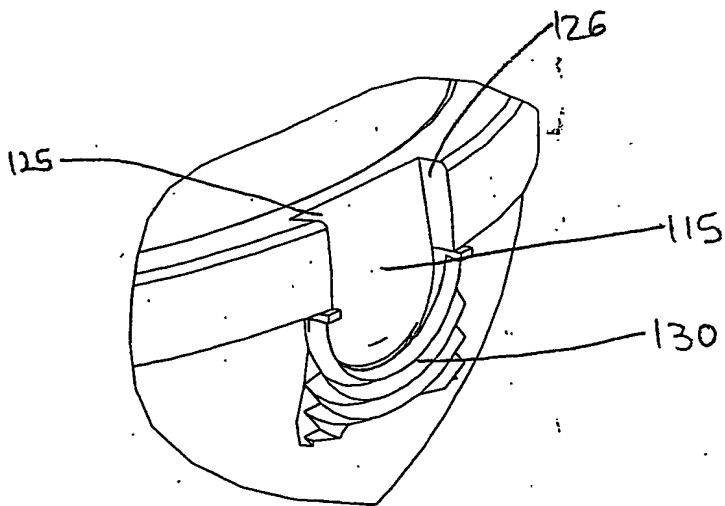


FIG. 9

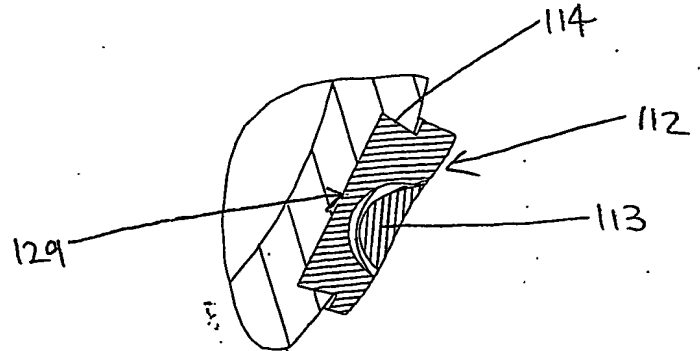
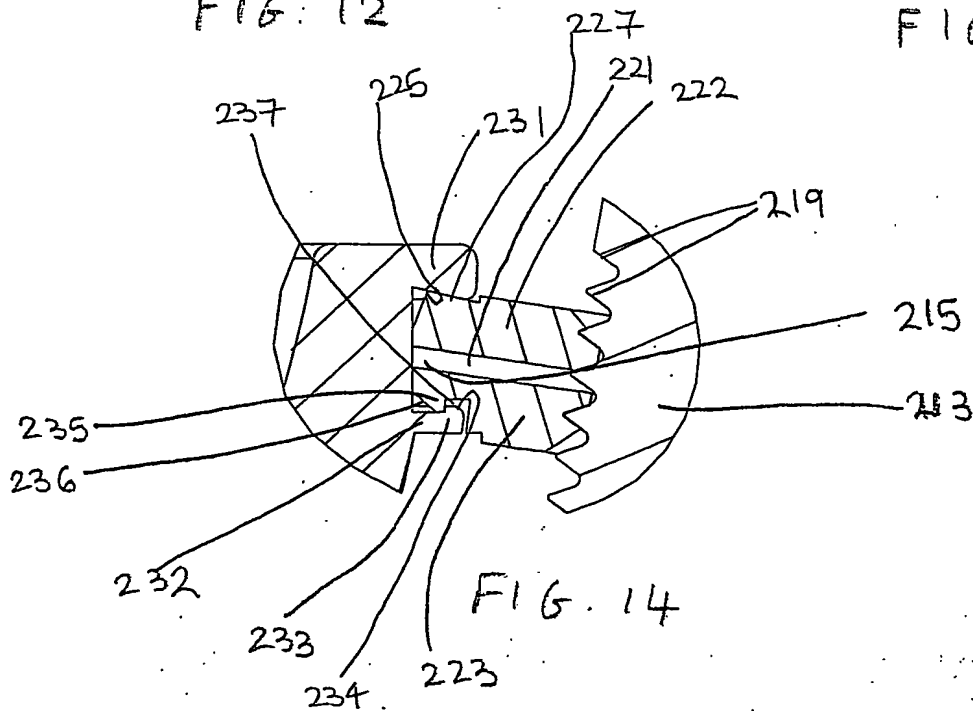
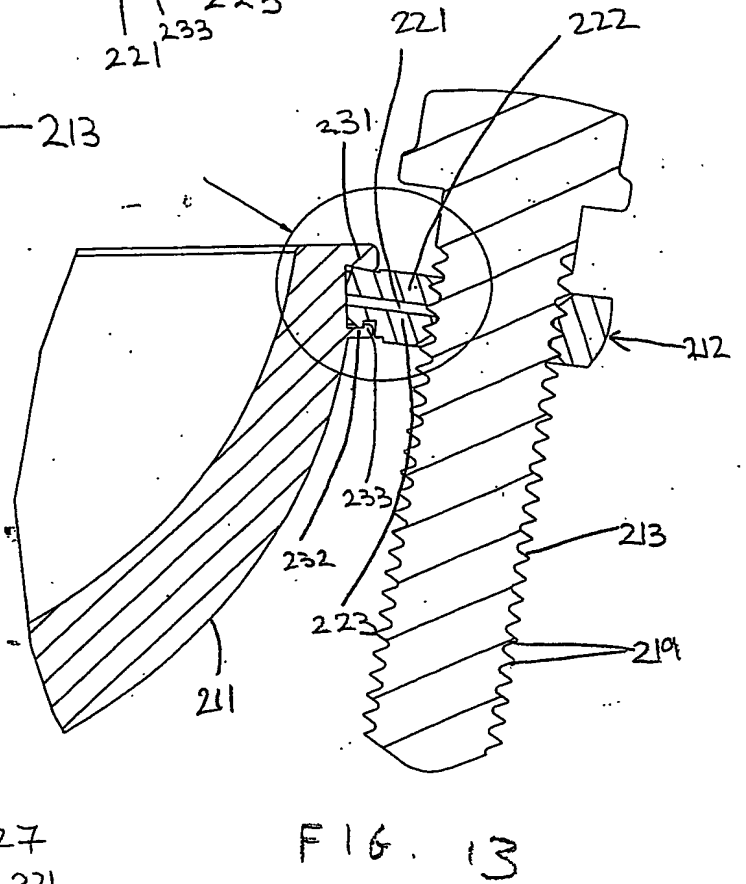
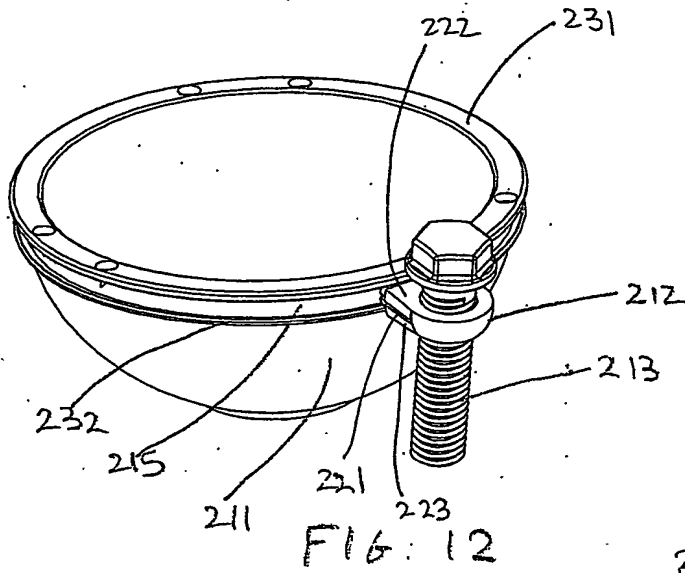
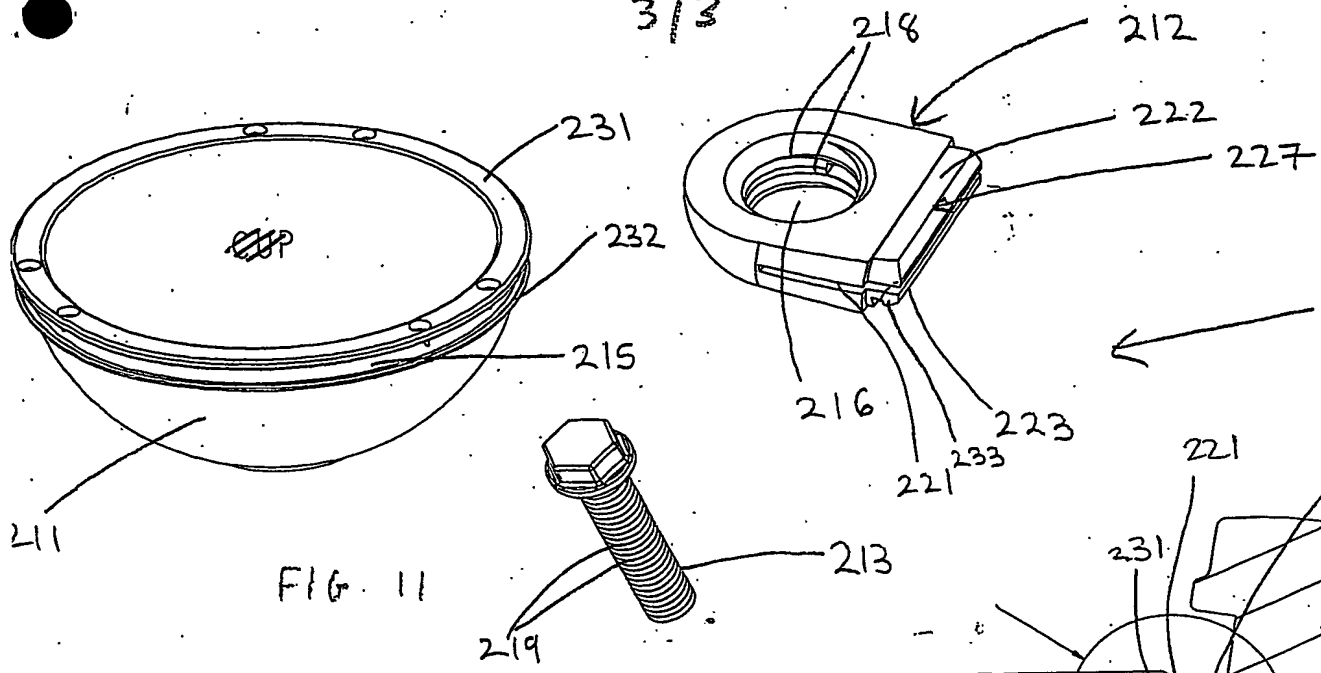
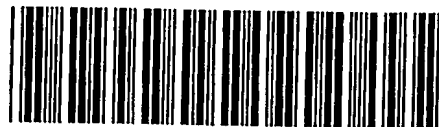


FIG. 10

3/3



PCT/GB2004/002539



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.